

**Drinking Water SRF Program Information
for the State of Maine**

Federal and State Investment

For Federal Fiscal Year Ending September 30 of:

	1997	1998	1999	2000	2001	2002
Data Entered by EPA						
Federal Grants (Dollars)						
1 Date of Last Award in the Year	-	9/30/98	-	6/13/00	3/23/01	5/1/02
2 Total Annual Federal Grants	\$0	\$19,774,500	\$0	\$7,463,800	\$7,757,000	\$15,841,600
3 *Cumulative Federal Grants	\$0	\$19,774,500	\$19,774,500	\$27,238,300	\$34,995,300	\$50,836,900
Transfer of Federal Funds Between DWSRF and CWSRF						
4 Amount of Federal Funds Transferred into DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
5 Amount of Federal Funds Transferred out of DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
6 *Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0
7 *Cumulative Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0
Quarterly Outlays (Dollars)						
8 First Quarter Outlays		\$202,417	\$2,409,831	\$979,415	\$855,191	\$2,319,118
9 Second Quarter Outlays		\$842,994	\$1,369,092	\$899,131	\$990,043	\$2,214,535
10 Third Quarter Outlays		\$2,840,900	\$2,160,899	\$581,597	\$640,278	\$2,166,658
11 Fourth Quarter Outlays		\$2,408,537	\$1,839,439	\$361,879	\$1,533,894	\$3,528,591
12 *Total Annual Outlays	\$0	\$6,294,848	\$7,779,261	\$2,822,021	\$4,019,406	\$10,228,902
13 *Cumulative Outlays	\$0	\$6,294,848	\$14,074,108	\$16,896,130	\$20,915,536	\$31,144,438

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

Federal and State Investment		<i>For the Reporting Year Ending June 30 of:</i>					
		1997	1998	1999	2000	2001	2002
DWSRF Fund Investment Summary (Calculated Values)							
14	*Annual Capitalization Grants	\$0	\$12,653,200	\$7,121,300	\$7,463,800	\$7,757,000	\$15,841,600
15	*Cumulative Capitalization Grants	\$0	\$12,653,200	\$19,774,500	\$27,238,300	\$34,995,300	\$50,836,900
Adjustments to the DWSRF Fund							
16	*Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$0	\$0	\$0
17	*Annual Amount (Awarded) for Set-Asides (from line 111)	\$0	(\$3,175,000)	(\$2,076,750)	(\$1,665,500)	(\$1,640,420)	(\$2,944,500)
18	*Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113)	\$0	\$0	\$0	\$0	\$0	\$0
19	*Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$9,478,200	\$5,044,550	\$5,798,300	\$6,116,580	\$12,897,100
20	*Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$9,478,200	\$14,522,750	\$20,321,050	\$26,437,630	\$39,334,730
21	*Annual State Contributions	\$0	\$2,500,000	\$0	\$3,000,000	\$1,500,000	\$2,168,320
22	*Cumulative State Contributions	\$0	\$2,500,000	\$2,500,000	\$5,500,000	\$7,000,000	\$9,168,320
23	*Annual Net Investments for the DWSRF Fund	\$0	\$11,978,200	\$5,044,550	\$8,798,300	\$7,616,580	\$15,065,420
24	*Cumulative Net Investments for the DWSRF Fund	\$0	\$11,978,200	\$17,022,750	\$25,821,050	\$33,437,630	\$48,503,050
25	*State Contributions as a % of Grants - Annual	-	20%	0%	40%	19%	14%
26	*State Contributions as a % of Grants - Cumulative	-	20%	13%	20%	20%	18%
Outlays (Dollars)							
27	*Annual	\$0	\$3,886,311	\$8,348,358	\$4,299,581	\$2,847,391	\$8,234,205
28	*Cumulative	\$0	\$3,886,311	\$12,234,670	\$16,534,251	\$19,381,642	\$27,615,847
State Match Contributions Deposited (Dollars)							
29	Cash or Appropriations	\$0	\$0	\$0	\$0	\$0	\$168,320
30	Bonds Retired Outside the DWSRF Fund	\$0	\$2,500,000	\$0	\$3,000,000	\$1,500,000	\$2,000,000
31	Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
32	Pre-existing Loans	\$0	\$0	\$0	\$0	\$0	\$0
33	Other Sources	\$0	\$0	\$0	\$0	\$0	\$0
34	*Total Annual Match Contributions	\$0	\$2,500,000	\$0	\$3,000,000	\$1,500,000	\$2,168,320
35	*Cumulative Match Contributions	\$0	\$2,500,000	\$2,500,000	\$5,500,000	\$7,000,000	\$9,168,320
Additional Match for State Program Management Activities (Dollars)							
36	Credit for 1993 Funding Used	\$0	\$328,000	\$328,591	\$258,000	\$328,591	\$657,182
37	Cash Contributions Deposited	\$0	\$0	\$0	\$0	\$0	\$0
38	Contributions as In-Kind Services	\$0	\$397,000	\$408,032	\$267,439	\$459,353	\$892,818
39	*Total Annual Additional Contribution	\$0	\$725,000	\$736,623	\$525,439	\$787,944	\$1,550,000
40	*Cumulative Additional Contribution	\$0	\$725,000	\$1,461,623	\$1,987,062	\$2,775,006	\$4,325,006
Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund							
41	*Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
42	Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
43	*Total Annual Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0
44	*Cumulative Total Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

Set-Asides	For the Reporting Year Ending June 30 of:					
	1997	1998	1999	2000	2001	2002
Administrative Expenses (Dollars)						
45 Amount Awarded for Inclusion in Workplans	\$0	\$500,000	\$280,000	\$298,500	\$310,280	\$623,000
46 *Annual Amount Awarded as a % of Grants Awarded	-	4.0%	3.9%	4.0%	4.0%	3.9%
47 Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0
48 *Annual Amount Awarded and Transferred	\$0	\$500,000	\$280,000	\$298,500	\$310,280	\$623,000
49 *Cumulative Amount Awarded, Including Transfers	\$0	\$500,000	\$780,000	\$1,078,500	\$1,388,780	\$2,011,780
50 Annual Expenses - DWSRF Administration	\$0	\$349,363	\$259,565	\$170,782	\$187,448	\$399,029
51 Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0
52 *Total Annual Administrative Expenses	\$0	\$349,363	\$259,565	\$170,782	\$187,448	\$399,029
53 *Cumulative Administrative Expenses	\$0	\$349,363	\$608,928	\$779,710	\$967,158	\$1,366,187
54 *Remaining Awarded Amount	\$0	\$150,637	\$171,072	\$298,790	\$421,622	\$645,593
Small Systems Technical Assistance						
55 Amount Awarded for Inclusion in Workplans	\$0	\$250,000	\$140,000	\$149,000	\$155,140	\$311,500
56 *Annual Amount Awarded as a % of Grants Awarded	-	2.0%	2.0%	2.0%	2.0%	2.0%
57 Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0
58 *Annual Amount Awarded and Transferred	\$0	\$250,000	\$140,000	\$149,000	\$155,140	\$311,500
59 *Cumulative Amount Awarded, Including Transfers	\$0	\$250,000	\$390,000	\$539,000	\$694,140	\$1,005,640
60 Annual Expenses - Small System Technical Assistance	\$0	\$0	\$116,519	\$173,328	\$176,130	\$134,784
61 *Cumulative Small Systems Technical Assistance Expenses	\$0	\$0	\$116,519	\$289,847	\$465,977	\$600,761
62 *Remaining Awarded Amount	\$0	\$250,000	\$273,481	\$249,153	\$228,163	\$404,879
63 Annual Number of Systems Receiving Assistance	0	0	781	789	759	771
64 *Cumulative Number of Small Systems Receiving Technical Assistance	0	0	781	1,570	2,329	3,100
State Program Management (Dollars)						
65 Amount Awarded for Inclusion in Workplans	\$0	\$725,000	\$700,000	\$516,000	\$775,000	\$1,550,000
66 *Annual Amount Awarded as a % of Grants Awarded	-	5.7%	9.8%	6.9%	10.0%	9.8%
67 Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0	\$0	\$0
68 *Annual Amount Awarded and Transferred	\$0	\$725,000	\$700,000	\$516,000	\$775,000	\$1,550,000
69 *Cumulative Amount Awarded, Including Transfers	\$0	\$725,000	\$1,425,000	\$1,941,000	\$2,716,000	\$4,266,000
70 Annual Expenses - PWSS Administration	\$0	\$0	\$397,051	\$227,309	\$164,303	\$569,762
71 *Cumulative Expenses - PWSS Administration	\$0	\$0	\$397,051	\$624,360	\$788,663	\$1,358,425
72 Annual Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$177,210	\$192,790	\$56,870	\$92,751
73 *Cumulative Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$177,210	\$370,000	\$426,870	\$519,621
74 Annual Expenses - Capacity Development	\$0	\$0	\$35,999	\$54,407	\$0	\$73,975
75 *Cumulative Expenses - Capacity Development	\$0	\$0	\$35,999	\$90,406	\$90,406	\$164,381
76 Annual Expenses - Operator Certification Programs	\$0	\$0	\$18,999	\$48,052	\$73,633	\$70,933
77 *Cumulative Expenses - Operator Certification Programs	\$0	\$0	\$18,999	\$67,051	\$140,684	\$211,617
78 *Total Annual State Program Management Expenses	\$0	\$0	\$629,259	\$522,558	\$294,806	\$807,421
79 *Cumulative State Program Management Expenses	\$0	\$0	\$629,259	\$1,151,817	\$1,446,623	\$2,254,044
80 *Remaining Awarded Amount	\$0	\$725,000	\$795,741	\$789,183	\$1,269,377	\$2,011,956

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

Set-Asides		For the Reporting Year Ending June 30 of:					
		1997	1998	1999	2000	2001	2002
Local Assistance and Other State Programs (1452(k) Activities)							
81	Amount Awarded for Inclusion in Workplans	\$0	\$1,700,000	\$956,750	\$702,000	\$400,000	\$460,000
82	*Annual Amount Awarded as a % of Grants Awarded	-	13.4%	13.4%	9.4%	5.2%	2.9%
83	Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0	\$0	\$0
84	*Annual Amount Awarded and Transferred	\$0	\$1,700,000	\$956,750	\$702,000	\$400,000	\$460,000
85	*Cumulative Amount Awarded, Including Transfers	\$0	\$1,700,000	\$2,656,750	\$3,358,750	\$3,758,750	\$4,218,750
Loans for Source Water Protection Land Acquisition/Conservation Easements							
86	Annual Dollar Amount of Loans	\$0	\$0	\$570,000	\$566,778	\$165,000	\$598,393
87	*Cumulative Dollar Amount of Loans	\$0	\$0	\$570,000	\$1,136,778	\$1,301,778	\$1,900,171
88	Annual Number of Systems Receiving Assistance	0	0	2	1	1	4
89	*Cumulative Number of Systems Receiving Assistance	0	0	2	3	4	8
90	Annual Number of Acres of Land Acquired for SWP	0	0	435	725	9	481
91	*Cumulative Number of Acres of Land Acquired for SWP	0	0	435	1,160	1,169	1,650
Loans for Incentive-Based Source Water Protection Measures							
92	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0
93	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0
94	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0
95	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0
Source Water Protection Area Delineation/Assessment							
96	Annual Expenses - SWP Area Delineation/Assessment	\$0	\$6,000	\$235,480	\$124,232	\$28,500	\$277,297
97	*Cumulative Expenses - SWP Area Delineation/Assessment	\$0	\$6,000	\$241,480	\$365,712	\$394,212	\$671,509
Wellhead Protection Programs							
98	Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$95,439	\$87,868	\$173,492	\$156,147
99	Annual Dollar Amount of Wellhead Protection Loans	\$0	\$0	\$0	\$0	\$0	\$0
100	Annual Number of Wellhead Protection Loans	0	0	0	0	0	0
101	*Cumulative Expenses/Loans - Wellhead Protection Programs	\$0	\$0	\$95,439	\$183,307	\$356,799	\$512,946
Technical or Financial Assistance to PWSs for Capacity Development							
102	Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$22,747	\$60,000	\$20,000
103	Annual Dollar Amount of Loans under the Capacity Development Strategy	\$0	\$0	\$0	\$0	\$0	\$0
104	Annual Number of Loans under the Capacity Development Strategy	0	0	0	0	0	0
105	*Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$22,747	\$82,747	\$102,747
106	Annual Number of Systems Receiving Assistance	0	0	0	3	6	2
107	*Cumulative Number of Systems Receiving Assistance	0	0	0	3	9	11
108	*Total Annual 1452(k) Activity Dollars	\$0	\$6,000	\$900,919	\$801,625	\$426,992	\$1,051,837
109	*Cumulative 1452(k) Activity Dollars	\$0	\$6,000	\$906,919	\$1,708,544	\$2,135,536	\$3,187,373
110	*Remaining Awarded Amount	\$0	\$1,694,000	\$1,749,831	\$1,650,206	\$1,623,214	\$1,031,377
Set-Aside Summary							
111	*Annual Total Awarded Amount for Set-Asides	\$0	\$3,175,000	\$2,076,750	\$1,665,500	\$1,640,420	\$2,944,500
112	*Cumulative Total Awarded Amount for Set-Asides	\$0	\$3,175,000	\$5,251,750	\$6,917,250	\$8,557,670	\$11,502,170
113	*Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
114	*Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
115	*Annual Net Total Amount Awarded for Set-Asides	\$0	\$3,175,000	\$2,076,750	\$1,665,500	\$1,640,420	\$2,944,500
116	*Cumulative Net Total Amount Awarded for Set-Asides	\$0	\$3,175,000	\$5,251,750	\$6,917,250	\$8,557,670	\$11,502,170
117	*Cumulative Net Total Amount Awarded as a % of Grants Awarded	-	25.1%	26.6%	25.4%	24.5%	22.6%
118	*Total Annual Set-Aside Activity Dollars Expended/Committed	\$0	\$355,363	\$1,906,262	\$1,668,293	\$1,085,376	\$2,393,071
119	*Cumulative Set-Aside Activity Dollars Expended/Committed	\$0	\$355,363	\$2,261,625	\$3,929,918	\$5,015,294	\$7,408,365
120	*Cumulative Remaining Awarded Amount for Set-Asides	\$0	\$2,819,637	\$2,990,125	\$2,987,332	\$3,542,376	\$4,093,805

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

DWSRF Fund Assistance		For the Reporting Year Ending June 30 of:					
		1997	1998	1999	2000	2001	2002
Binding Commitments							
121	Annual Dollar Amount	\$0	\$7,814,460	\$15,306,798	\$454,917	\$6,223,826	\$15,765,359
122	*Cumulative Dollar Amount	\$0	\$7,814,460	\$23,121,258	\$23,576,175	\$29,800,001	\$45,565,360
123	Annual Number of Binding Commitments	0	6	19	5	8	13
124	*Cumulative Number of Binding Commitments	0	6	25	30	38	51
Type of DWSRF Assistance Provided (Dollars)							
125	Executed Loan Commitments	\$0	\$4,258,930	\$12,816,181	\$237,590	\$6,223,826	\$15,015,359
126	Refinance Short-term Debt	\$0	\$3,555,530	\$2,490,617	\$217,327	\$0	\$750,000
127	Refinance Long-term Debt	\$0	\$0	\$0	\$0	\$0	\$0
128	Guarantee or Purchase Insurance	\$0	\$0	\$0	\$0	\$0	\$0
129	*Total Annual Assistance	\$0	\$7,814,460	\$15,306,798	\$454,917	\$6,223,826	\$15,765,359
130	*Cumulative Assistance	\$0	\$7,814,460	\$23,121,258	\$23,576,175	\$29,800,001	\$45,565,360
Type of DWSRF Assistance Provided (Number of Assistance Agreements)							
131	Executed Loan Commitments	0	4	16	3	8	12
132	Refinance Short-term Debt	0	2	3	2	0	1
133	Refinance Long-term Debt	0	0	0	0	0	0
134	Guarantee or Purchase Insurance	0	0	0	0	0	0
135	*Total Annual Number of Agreements	0	6	19	5	8	13
136	*Cumulative Number of Agreements	0	6	25	30	38	51
Assistance by Population Size (Dollars)							
137	Less than 501	\$0	\$611,085	\$2,088,241	\$60,780	\$1,036,885	\$515,303
138	501 to 3,300	\$0	\$1,725,475	\$9,925,413	\$394,137	\$4,581,941	\$6,407,956
139	3,301 to 10,000	\$0	\$2,417,900	\$1,058,144	\$0	\$605,000	\$3,016,000
140	10,001 to 100,000	\$0	\$3,060,000	\$2,235,000	\$0	\$0	\$5,826,100
141	100,001 and Above	\$0	\$0	\$0	\$0	\$0	\$0
142	*Total Annual Assistance	\$0	\$7,814,460	\$15,306,798	\$454,917	\$6,223,826	\$15,765,359
143	*Cumulative Assistance	\$0	\$7,814,460	\$23,121,258	\$23,576,175	\$29,800,001	\$45,565,360
Assistance by Population Size (Number of Assistance Agreements)							
144	Less than 501	0	2	6	2	1	2
145	501 to 3,300	0	1	11	3	6	7
146	3,301 to 10,000	0	2	1	0	1	2
147	10,001 to 100,000	0	1	1	0	0	2
148	100,001 and Above	0	0	0	0	0	0
149	*Total Annual Number of Agreements	0	6	19	5	8	13
150	*Cumulative Number of Agreements	0	6	25	30	38	51

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

DWSRF Fund Assistance		For the Reporting Year Ending June 30 of:					
		1997	1998	1999	2000	2001	2002
Drinking Water System Project Assistance (Dollars in each category)							
151	Planning and Design Only	\$0	\$0	\$10,000	\$0	\$0	\$0
Construction							
152	Treatment	\$0	\$3,774,832	\$2,974,372	\$62,327	\$1,011,000	\$5,974,980
153	Transmission & Distribution	\$0	\$0	\$2,069,831	(\$27,110)	\$977,810	\$2,524,578
154	Source	\$0	\$368,544	\$4,759,463	\$451,810	\$3,684,441	\$965,000
155	Storage	\$0	\$3,060,000	\$4,758,132	(\$32,110)	\$550,575	\$5,212,200
156	Purchase of Systems	\$0	\$0	\$0	\$0	\$0	\$0
157	Restructuring	\$0	\$611,084	\$600,000	\$0	\$0	\$1,088,601
158	Land Acquisition	\$0	\$0	\$135,000	\$0	\$0	\$0
159	Other	\$0	\$0	\$0	\$0	\$0	\$0
160	*Total Annual Dollar Amount	\$0	\$7,814,460	\$15,306,798	\$454,917	\$6,223,826	\$15,765,359
161	*Cumulative Dollar Amount	\$0	\$7,814,460	\$23,121,258	\$23,576,175	\$29,800,001	\$45,565,360
Drinking Water System Project Assistance (Number in each category)**							
162	Planning and Design Only	0	0	1	0	0	0
Construction							
163	Treatment	0	2	4	1	2	5
164	Transmission & Distribution	0	0	3	0	4	6
165	Source	0	2	5	3	5	2
166	Storage	0	1	7	1	1	2
167	Purchase of Systems	0	0	0	0	0	0
168	Restructuring	0	2	1	0	0	3
169	Land Acquisition	0	0	1	0	0	0
170	Other	0	0	0	0	0	0
171	*Total Annual Number	0	7	22	5	12	18
172	*Cumulative Number	0	7	29	34	46	64

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Maine**

DWSRF Fund Assistance		<i>For the Reporting Year Ending June 30 of:</i>					
		1997	1998	1999	2000	2001	2002
Number of Projects Funded							
173	Annual Number of Projects Receiving Assistance	0	9	31	5	12	18
174	*Cumulative Number of Projects	0	9	40	45	57	75
DWSRF Project Starts							
175	Annual Dollar Amount	\$0	\$7,814,460	\$15,296,798	\$237,590	\$5,676,153	\$13,397,032
176	*Cumulative Dollar Amount	\$0	\$7,814,460	\$23,111,258	\$23,348,848	\$29,025,001	\$42,422,033
177	Annual Number of Assistance Agreements	0	6	18	3	8	12
178	*Cumulative Number of Agreements	0	6	24	27	35	47
DWSRF Project Completions							
179	Annual Dollar Amount	\$0	\$4,987,519	\$5,432,462	\$10,668,970	\$3,086,310	\$4,011,716
180	*Cumulative Dollar Amount	\$0	\$4,987,519	\$10,419,981	\$21,088,951	\$24,175,261	\$28,186,977
181	Annual Number of Assistance Agreements	0	3	10	9	6	8
182	*Cumulative Number of Agreements	0	3	13	22	28	36
183	Number of Projects Completed	0	5	9	22	8	10
184	*Cumulative Number of Projects Completed	0	5	14	36	44	54
Assistance to Disadvantaged Communities							
185	Annual Dollar Amount of Assistance to Disadvantaged Communities	\$0	\$611,084	\$6,251,890	\$486,810	\$4,885,790	\$5,387,951
186	*Cumulative Dollar Amount	\$0	\$611,084	\$6,862,974	\$7,349,784	\$12,235,574	\$17,623,525
187	Annual Number of Assistance Agreements	0	2	10	3	6	5
188	*Cumulative Number of Agreements	0	2	12	15	21	26
Assistance with Principal Forgiveness							
189	Annual Dollar Amount of Assistance with Principal Forgiveness	\$0	\$611,084	\$5,559,890	\$486,810	\$4,885,790	\$5,387,951
190	*Cumulative Dollar Amount	\$0	\$611,084	\$6,170,974	\$6,657,784	\$11,543,574	\$16,931,525
191	Annual Dollar Amount of Principal Forgiven	\$0	\$451,175	\$3,946,932	\$342,607	\$2,214,788	\$4,023,412
192	*Cumulative Dollar Amount	\$0	\$451,175	\$4,398,107	\$4,740,714	\$6,955,502	\$10,978,914
193	Annual Number of Assistance Agreements with Principal Forgiveness	0	2	9	3	6	5
194	*Cumulative Number of Agreements	0	2	11	14	20	25
Assistance with Greater than 20-Year Repayment							
195	Annual Dollar Amount of Assistance with > 20-Year Repayment	\$0	\$611,084	\$6,251,890	\$486,810	\$4,885,790	\$5,387,951
196	*Cumulative Dollar Amount	\$0	\$611,084	\$6,862,974	\$7,349,784	\$12,235,574	\$17,623,525
197	Annual Number of Assistance Agreements with > 20-Year Repayment	0	2	10	3	6	5
198	*Cumulative Number of Agreements	0	2	12	15	21	26
Population Served in Disadvantaged Communities Receiving Assistance							
199	Population Served	0	155	9,509	1,658	5,663	7,410
200	*Cumulative Population Served	0	155	9,664	11,322	16,985	24,395

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

DWSRF Fund Assistance - Specific Project Information		<i>For the Reporting Year Ending June 30 of:</i>					
		1997	1998	1999	2000	2001	2002
Assistance for System Compliance							
201	Annual Dollar Amount	\$0	\$5,886,940	\$10,441,638	\$554,137	\$1,919,405	\$4,244,000
202	*Cumulative Dollar Amount	\$0	\$5,886,940	\$16,328,578	\$16,882,715	\$18,802,120	\$23,046,120
203	Annual Number of Assistance Agreements	0	4	11	3	1	2
204	*Cumulative Number of Agreements	0	4	15	18	19	21
205	Annual Population Served	0	26,155	26,175	2,720	1,005	9,333
206	*Cumulative Population Served	0	26,155	52,330	55,050	56,055	65,388
Assistance to Private Systems							
207	Annual Dollar Amount	\$0	\$611,084	\$1,397,800	\$115,000	\$1,919,405	\$2,141,403
208	*Cumulative Dollar Amount	\$0	\$611,084	\$2,008,884	\$2,123,884	\$4,043,289	\$6,184,692
209	Annual Number of Assistance Agreements	0	2	3	2	1	3
210	*Cumulative Number of Agreements	0	2	5	7	8	11
Assistance to Systems by Type							
211	Annual Number of Community Systems	0	6	21	4	9	13
212	*Cumulative Number of Community Systems	0	6	27	31	40	53
213	Annual Number of non-Community Systems	0	0	0	1	0	0
214	*Cumulative Number of non-Community Systems	0	0	0	1	1	1
215	Annual Population Served by Community Systems	0	34,555	75,332	3,426	13,453	74,536
216	*Cumulative Population Served by Community Systems	0	34,555	109,887	113,313	126,766	201,302
217	Annual Population Served by non-Community Systems	0	0	0	195	0	0
218	*Cumulative Population Served by non-Community Systems	0	0	0	195	195	195
Assistance for the Creation of New Systems							
219	Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
220	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
221	Annual Number of Assistance Agreements	0	0	0	0	0	0
222	*Cumulative Number of Agreements	0	0	0	0	0	0
Assistance for the Consolidation of Systems							
223	Annual Dollar Amount	\$0	\$611,084	\$600,000	\$0	\$0	\$1,088,601
224	*Cumulative Dollar Amount	\$0	\$611,084	\$1,211,084	\$1,211,084	\$1,211,084	\$2,299,685
225	Annual Number of Assistance Agreements	0	2	1	0	0	3
226	*Cumulative Number of Agreements	0	2	3	3	3	6
227	Annual Number of Systems Eliminated	0	2	1	0	0	3
228	*Cumulative Number of Systems Eliminated	0	2	3	3	3	6
Assistance to Indian Tribes							
229	Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
230	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
231	Annual Number of Assistance Agreements	0	0	0	0	0	0
232	*Cumulative Number of Agreements	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

Fund Information	For the Reporting Year Ending June 30 of:					
	1997	1998	1999	2000	2001	2002
Leveraged Bonds (Excludes State Match)						
233 Gross Leveraged Bonds Issued - Annual	\$0	\$0	\$4,795,000	\$0	\$0	\$0
234 Net Leveraged Bonds Issued - Annual	\$0	\$0	\$4,664,157	\$0	\$0	\$0
235 Cost of Leveraged Bond Issuance - Annual	\$0	\$0	\$130,843	\$0	\$0	\$0
236 Leveraged Bond Principal Repaid - Annual	\$0	\$0	\$0	\$186,033	\$189,643	\$196,338
Debt Service Reserve for Leveraged Bonds						
237 *Annual Change	\$0	\$0	\$19,842	\$0	\$0	\$0
238 Balance at End of Reporting Period	\$0	\$0	\$19,842	\$19,842	\$19,842	\$19,842
239 Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0	\$0	\$0
240 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0	\$0	\$0
241 *Gross Leveraged Bonds Issued - Cumulative	\$0	\$0	\$4,795,000	\$4,795,000	\$4,795,000	\$4,795,000
242 *Net Leveraged Bonds Issued - Cumulative	\$0	\$0	\$4,664,157	\$4,664,157	\$4,664,157	\$4,664,157
243 *Cost of Leveraged Bond Issuance - Cumulative	\$0	\$0	\$130,843	\$130,843	\$130,843	\$130,843
244 *Leveraged Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$186,033	\$375,676	\$572,014
245 *Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0
246 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0
247 *Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$4,795,000	\$4,608,967	\$4,419,324	\$4,222,986
State Match Bonds to be Repaid by DWSRF Fund						
248 *Match Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0
249 Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0
250 *Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0
251 *Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0
252 *Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid on Leveraged and Match Bonds						
253 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual	\$0	\$0	\$156,402	\$236,575	\$222,718	\$207,106
254 Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds						
255 *Annual Dollar Amount	\$0	\$0	\$156,402	\$236,575	\$222,718	\$207,106
256 *Cumulative Dollar Amount	\$0	\$0	\$156,402	\$392,977	\$615,695	\$822,801

*Calculated Values

**Drinking Water SRF Program Information
for the State of Maine**

Fund Information	For the Reporting Year Ending June 30 of:					
	1997	1998	1999	2000	2001	2002
DWSRF Project Assistance Disbursed						
257 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$0	\$3,530,947	\$13,080,437	\$4,622,072	\$2,824,668	\$8,313,703
258 *Cumulative DWSRF Fund Disbursements	\$0	\$3,530,947	\$16,611,384	\$21,233,456	\$24,058,124	\$32,371,827
259 Annual 1452(k) Loan Disbursements	\$0	\$0	\$570,000	\$566,778	\$70,000	\$650,993
260 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$570,000	\$1,136,778	\$1,206,778	\$1,857,771
DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account						
261 Number of Projects Initiating Principal Repayments	0	0	14	6	15	5
262 *Cumulative Number of Projects Initiating Principal Repayments	0	0	14	20	35	40
263 Principal Repayments - Annual	\$0	\$0	\$106,864	\$649,506	\$688,877	\$767,282
264 Interest Payments - Annual	\$0	\$0	\$210,244	\$389,649	\$237,287	\$276,606
265 *Principal and Interest - Annual	\$0	\$0	\$317,108	\$1,039,155	\$926,164	\$1,043,888
266 *Principal Repayments - Cumulative	\$0	\$0	\$106,864	\$756,370	\$1,445,247	\$2,212,529
267 *Interest Payments - Cumulative	\$0	\$0	\$210,244	\$599,893	\$837,180	\$1,113,786
268 *Principal and Interest - Cumulative	\$0	\$0	\$317,108	\$1,356,263	\$2,282,427	\$3,326,315
269 Weighted Average Interest Rate on DWSRF Executed Loan Commitments	0.0%	1.6%	1.6%	1.1%	0.4%	1.7%
270 State Market Interest Rate	0.0%	4.8%	5.5%	5.7%	5.0%	4.0%
DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only						
271 Principal Repayments - Annual	\$0	\$0	\$0	\$58,000	\$93,221	\$98,569
272 Interest Payments - Annual	\$0	\$0	\$7,467	\$23,418	\$16,831	\$26,621
273 *Principal and Interest - Annual	\$0	\$0	\$7,467	\$81,418	\$110,052	\$125,190
274 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$58,000	\$151,221	\$249,790
275 *Interest Payments - Cumulative	\$0	\$0	\$7,467	\$30,885	\$47,716	\$74,337
276 *Principal and Interest - Cumulative	\$0	\$0	\$7,467	\$88,885	\$198,937	\$324,127
277 Weighted Average Interest Rate on 1452(k) Loans	0.0%	0.0%	2.6%	2.5%	3.0%	1.0%
Interest Earnings on Investments						
278 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$0	\$0	\$82,822	\$93,717	\$244,107	\$152,431
279 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$0	\$0	\$82,822	\$176,539	\$420,646	\$573,077
280 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$55	\$2,623	\$7,734	\$6,609
281 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$55	\$2,678	\$10,412	\$17,021
Fees Charged on DWSRF Assistance						
282 Annual Income from Fees Included in Loans	\$0	\$0	\$120,641	\$55,716	\$1,320	\$73,809
283 Annual Income from Fees not Included in Loans	\$0	\$0	\$1,902	\$38,568	\$41,793	\$81,326
284 Annual Interest Earnings from Fee Account	\$0	\$0	\$2,822	\$1,820	\$1,689	\$414
285 *Total Annual Income from Fees	\$0	\$0	\$125,365	\$96,104	\$44,802	\$155,549
286 *Cumulative Income from Fees	\$0	\$0	\$125,365	\$221,469	\$266,271	\$421,820
Expenses Paid from DWSRF Fee Accounts						
287 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$0	\$0	\$98,347	\$80,914	\$46,091	\$85,135
288 *Cumulative Expenses Paid to Administer DWSRF Fund	\$0	\$0	\$98,347	\$179,261	\$225,352	\$310,487
289 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0
290 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0
291 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0
292 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees						
293 Annual Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0
294 *Cumulative Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:					
	1997	1998	1999	2000	2001	2002
Coordinated DWSRF Funding with Other State or Federal Funding Sources						
295 Amount of Coordinated Funding	\$0	\$260,000	\$9,003,150	\$2,216,237	\$9,803,285	\$7,566,905
296 *Cumulative Amount of Coordinated Funding	\$0	\$260,000	\$9,263,150	\$11,479,387	\$21,282,672	\$28,849,577
297 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	1	9	2	6	4
298 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	1	10	12	18	22
299 DWSRF Portion of Coordinated Funding	\$0	\$185,000	\$5,253,358	\$504,137	\$5,051,385	\$4,733,505
300 *Cumulative DWSRF Portion of Coordinated Funding	\$0	\$185,000	\$5,438,358	\$5,942,495	\$10,993,880	\$15,727,385
State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)						
301 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0
302 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
303 Annual Number of Loans	0	0	0	0	0	0
304 *Cumulative Number of Loans	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)						
305 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0
306 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
307 Annual Number of Grants	0	0	0	0	0	0
308 *Cumulative Number of Grants	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)						
309 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0
310 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0
311 *Number of Loans and Grants - Annual	0	0	0	0	0	0
312 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0
State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)						
313 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0
314 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
315 Annual Number of Loans	0	0	0	0	0	0
316 *Cumulative Number of Loans	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)						
317 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0
318 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
319 Annual Number of Grants	0	0	0	0	0	0
320 *Cumulative Number of Grants	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)						
321 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0
322 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0
323 *Number of Loans and Grants - Annual	0	0	0	0	0	0
324 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Maine**

Lead State Agency

325	Lead State Agency Name:	Department of Human Services
326	Type of Agency:	Health
327	Mailing Address:	11 State House Station
328	City:	Augusta
329	Zip Code:	04333-0011
330	Street Address:	161 Capital Street
331	City:	Augusta
332	Zip Code:	4330
333	Director:	Nancy Beardsley
334	Director's Title:	SRF Administrator
335	Phone Number:	(207) 287-5674
336	Fax Number:	(207) 287-4172
337	E-mail Address:	nancy.beardsley@state.me.us
338	Contact Person:	Roger Crouse
339	Phone Number:	(207) 287-5684
340	Fax Number:	(207) 287-4172
341	E-mail Address:	roger.crouse@state.me.us

Secondary Agency

342	Secondary Agency Name:	Municipal Bond Bank
343	Type of Agency:	Financial
344	Mailing Address:	P.O. Box 2268
345	City:	Augusta
346	Zip Code:	04338-2268
347	Street Address:	3 University Drive
348	City:	Augusta
349	Zip Code:	4330
350	Director:	Robert Lenna
351	Director's Title:	Executive Director
352	Phone Number:	(207) 622-9386
353	Fax Number:	(207) 623-5359
354	E-mail Address:	rol@mainebondbank.com
355	Contact Person:	Karen Asselin
356	Phone Number :	(207) 622-9386
357	Fax Number:	(207) 623-5359
358	E-mail Address:	kla@mainebondbank.com

Other Agency

359	Other Agency Name:	
360	Type of Agency:	
361	Mailing Address:	
362	City:	
363	Zip Code:	
364	Street Address:	
365	City:	
366	Zip Code:	
367	Director:	
368	Director's Title:	
369	Phone Number:	
370	Fax Number:	
371	E-mail Address:	
372	Contact Person:	
373	Phone Number:	
374	Fax Number:	
375	E-mail Address:	

**Drinking Water SRF Program Information
for the State of Maine**

Fund Analysis	For the Reporting Year Ending June 30 of:					
	1997	1998	1999	2000	2001	2002
DWSRF Funds Available for Projects						
376 *Annual (New Funds)	\$0	\$11,978,200	\$9,932,393	\$9,508,564	\$8,374,490	\$15,858,295
377 *Cumulative	\$0	\$11,978,200	\$21,910,593	\$31,419,157	\$39,793,647	\$55,651,942
DWSRF Assistance as a % of Funds Available						
378 *Annual	-	65%	154%	5%	74%	99%
379 *Cumulative	-	65%	106%	75%	75%	82%
Outlays as a % of Capitalization Grants						
380 *Annual	-	32%	165%	49%	37%	55%
381 *Cumulative	-	32%	72%	64%	58%	57%
Disbursements as a % of Funds Available						
382 *Annual	-	29%	132%	49%	34%	52%
383 *Cumulative	-	29%	76%	68%	60%	58%
Project Starts as a % of Funds Available						
384 *Annual	-	65%	154%	2%	68%	84%
385 *Cumulative	-	65%	105%	74%	73%	76%
Project Completions as a % of Funds Available						
386 *Annual	-	42%	55%	112%	37%	25%
387 *Cumulative	-	42%	48%	67%	61%	51%
Loan Principal Repayments as a % of Funds Available						
388 *Annual	-	0%	1%	7%	8%	5%
389 *Cumulative	-	0%	0%	2%	4%	4%
Disbursements as a % of DWSRF Assistance						
390 *Annual	-	45%	85%	1016%	45%	53%
391 *Cumulative	-	45%	72%	90%	81%	71%
Project Starts as a % of DWSRF Assistance						
392 *Annual	-	100%	100%	52%	91%	85%
393 *Cumulative	-	100%	100%	99%	97%	93%
Project Completions as a % of DWSRF Assistance						
394 *Annual	-	64%	35%	2345%	50%	25%
395 *Cumulative	-	64%	45%	89%	81%	62%
Loan Principal Repayments as a % of DWSRF Assistance						
396 *Annual	-	0%	1%	143%	11%	5%
397 *Cumulative	-	0%	0%	3%	5%	5%
Project Completions as a % of Project Starts						
398 *Annual	-	64%	36%	4490%	54%	30%
399 *Cumulative	-	64%	45%	90%	83%	66%
Financial Indicators based on Cumulative Activity						
400 *Return on Federal Investment	-	91.0%	157.0%	158.6%	156.9%	150.1%
401 *Assistance Provided as a % of Funds Available	-	65.2%	105.5%	75.0%	74.9%	81.9%
402 *Disbursements as a % of Assistance Provided	-	45.2%	71.8%	90.1%	80.7%	71.0%
403 *Additional Assistance Provided Due to Leveraging	-	-	\$5,854,980	(\$3,198,667)	(\$5,349,331)	(\$5,442,267)
404 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal	\$0	(\$451,175)	(\$4,261,443)	(\$4,357,259)	(\$6,313,371)	(\$10,114,852)
405 *Net Return on Contributed Capital	-	-7.5%	-34.2%	-24.1%	-29.5%	-34.4%
406 *Set-Aside Spending Rate	-	11.2%	43.1%	56.8%	58.6%	64.4%

* Calculated values.